SEC Form 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

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OMB APPROVAL

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Whitaker Christopher	2. Date of Event Requiring Statement (Month/Day/Year) 01/01/2024	3. Issuer Name and Ticker or Trading Symbol <u>SOBR Safe, Inc.</u> [SOBR]				
(Last) (First) (Middle)		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) 5. If Amendment, Date of Original Filed (Month/Day/Year)				
6400 S. FIDDLERS GREEN CIRCLE STE. 1400 (Street)		Director 10% Owner X Officer (give title below) Other (specify below) CFO CFO				
GREENWOOD CO 80111 VILLAGE						
(City) (State) (Zip)						

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	, ·
Common Stock	40,000	D	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		or Exercise	· · /	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Indirect (I) (Instr. 5)	
Stock Options	(1)	02/23/2028	Common Stock	65,000	2.11	D	
Stock Options	(2)	11/10/2028	Common Stock	150,000	0.48	D	

Explanation of Responses:

1. Options granted pursuant to the SOBR Safe, Inc. 2019 Equity Incentive Plan. Options vest quarterly over 8.333 quarters.

2. Options granted pursuant to the SOBR Safe, Inc. 2019 Equity Incentive Plan. Options vest in twelve equal quarterly installments.

/s/ Christopher Whitaker ** Signature of Reporting Person Date

01/10/2024

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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